

10/500820

DT04 Rec'd PCT/PTO 07 JUL 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Masahiro OHO et al. : Attn: BOX PCT
Serial No. NEW : Attorney Docket No. 2004-1067A
Filed July 7, 2004 :

UNIT-TO-UNIT DATA EXCHANGE
SYSTEM, AND UNIT, EXCHANGE
COMPLETION DATA KEEPING DEVICE
AND PROGRAM FOR USE IN THE SAME
[Corresponding to PCT/JP2003/013810
Filed October 29, 2003]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication (*for U.S. National applications filed after June 30, 2003 and International applications that have entered U.S. National Stage after June 30, 2003*),
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____

1a. ☒ This Information Disclosure Statement is submitted:

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ATTACHMENT D

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within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification

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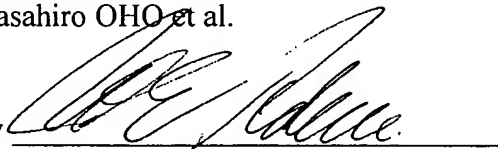
after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Masahiro OHO et al.

By



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July 7, 2004

FORM PTO 1449 (modified)

ATTY DOCKET NO.
2004-1067ASERIAL NO
NEW

10/500820

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)APPLICANT
Masahiro OHO et al.

Date Submitted to PTO: July 7, 2004

FILING DATE
July 7, 2004

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,061,792	5/2000	Simon			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AH	2000-163488	6/2000	JP			abstract
	AI	2000-339851	12/2000	JP			abstract
	AJ	2001-84177	3/2001	JP			abstract
	AK	2001-273133	10/2001	JP			abstract
	AL	2002-164881	6/2002	JP			abstract
	AM	2002-288453	10/2002	JP			abstract
	AN	2002-312523	10/2002	JP			abstract

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	"WinMX, [online], Frontcode Technologies, October 19, 2002, [retrieved on 1/13/2004], Retrieved from the Internet: <URL:http\www.winmx.com/>.
	AP	""Kamii" Dake ga Taiho Sareru towa Kagiranai- ACCS ga Keizokuteki na Tekihatsu o Shisa", [online], Softbank ITmedia, Inc., February 20, 2002, [retrieved on 1/13/2004], Retrieved from the Internet: <URL:http\www.itmedia.co.JP/news/0202/20accs.html>.
	AQ	N. Asokan et al., "Asynchronous Protocols for Optimistic Fair Exchange", IBM Research Division, Zurich Research Laboratory, Research Report RZ 2976 (#93022), December 1997.

EXAMINER

DATE CONSIDERED